



MEMORANDUM – 2026-025

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 02 October 2026

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 September 2026.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Oct-26	VEDAD	JOSE MARIA G.	TRADE AND PROCESSING SPECIALIST	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
30-Sep-26	BORDO	KIMBERLY M.	ACCOUNTING ASSISTANT	-	SUNSECURITIES, INC.	RESIGNATION (cleared)
28-Sep-26	TAN	JAMES ANDROE T.	RESEARCH ASSOCIATE	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
26-Sep-26	RAMOS II	CHARLES JOSEP V.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
25-Sep-26	REYES	STEPHANIE FAYE B.	LEGAL DEPUTY HEAD/CORPORATE SECRETARY	AVP- HEAD OF LEGAL AND COMPLIANCE, CORPORATE SECRETARY	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
25-Sep-26	TAN	APRIL LYNN L.	FVP – CHIEF INVESTOR RELATIONS AND CORPORATE STRATEGY	HEAD OF EQUITIES AND CHIEF INVESTOR RELATIONS OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
25-Sep-26	CHENG	NANCY	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
25-Sep-26	TORRELAVEGA	JO ANN C.	CUSTOMER SUPPORT MANAGER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
23-Sep-26	SALILI	MICAELLA R.	-	JUNIOR DATABASE DEVELOPER	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
23-Sep-26	ANG	KATE VALERIE C.	EQUITY RESEARCH JUNIOR ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
22-Sep-26	HERRERA	DOMINGO E.	CHAIRMAN & DIRECTOR	CHAIRMAN, PRESIDENT & DIRECTOR	STANDARD SECURITIES CORPORATION	RE-DESIGNATION
22-Sep-26	HERRERA	ISABEL L.	PRESIDENT	-	STANDARD SECURITIES CORPORATION	RESIGNATION (no clearance required)
21-Sep-26	TUMACOLE	ANDRY JOSEPH B.	-	JUNIOR FULLSTACK DEVELOPER	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
21-Sep-26	DE LOS REYES	CARL WILSON I.	-	CONSULTANT	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
21-Sep-26	PANGANIBAN	ROWIE NEIL L.	-	ACCOUNTING ASSISTANT	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
21-Sep-26	AMANTE	DHEA NICOLE M.	-	EQUITY ANALYST	THE FIRST RESOURCES MGMT. & SECURITIES CORP.	NEW EMPLOYMENT
18-Sep-26	ROQUE	ANNALYN D.	ACCOUNTING SPECIALIST	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
18-Sep-26	CONSTANTINO	CRISTINA E.	SETTLEMENT OFFICER	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
18-Sep-26	PALOMO	CYRIL L.	-	NEW ACCOUNT PROCESSOR	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
17-Sep-26	GAN	CONCHITA Y.	DIRECTOR/ ASSISTANT TREASURER	-	YAO & ZIALCITA, INC.	DECEASED
17-Sep-26	SIY	ANITA Y.	-	DIRECTOR/ASSISTANT TREASURER	YAO & ZIALCITA, INC.	NEW EMPLOYMENT
17-Sep-26	GONZALES	LORILYN A.	HUMAN RESOURCES OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
17-Sep-26	ALTAREJOS	CRISTINA	-	NEW ACCOUNT PROCESSOR	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
17-Sep-26	CASTILLO	CESAR D.	-	SECTION HEAD FOR TRADES PROCESSING AND SECURITIES SETTLEMENTS - NON-LOCAL EQUITIES	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Sep-26	SANTOS	ALLEN PETER T.	ASSOCIATE ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (transferred to BDO Private Bank)
16-Sep-26	LIMOSNERO	JESSA MAE B.	FINANCE OFFICER	-	MAYBANK SECURITIES, INC.	RESIGNATION (no clearance required)
16-Sep-26	MARAMAG	BARRY N.	-	KYC ANALYST, OPERATIONS	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT

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16-Sep-26	SAN DIEGO	SANNEL TYRONE NORMAN C.	TRAINEE	-	R.S. LIM & COMPANY, INC.	RESIGNATION (no clearance required)
15-Sep-26	GOOL	BRIKMAN DAVE L.	BRAND SPECIALIST	-	META CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
14-Sep-26	CHUCAS	MAGNOLIA P.	ACCOUNT MAINTENANCE ASSOCIATE / OIC - AM SECTION	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
11-Sep-26	HISPANO	CADIZ C.	ONLINE BUSINESS HEAD	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
10-Sep-26	REYES	NATASHA LUNA T.	RESEARCH ASSOCIATE	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
9-Sep-26	ANG	KATE VALERIE C.	-	EQUITY RESEARCH JUNIOR ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
9-Sep-26	YAO	LANCE RAFAEL C.	RESEARCH ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
8-Sep-26	ZULUETA	MELIZA B.	CERTIFIED UITF SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
7-Sep-26	BORDO	KIMBERLY M.	-	ACCOUNTING ASSISTANT	SUNSECURITIES, INC.	NEW EMPLOYMENT
7-Sep-26	LUMHOD	CHARLES RANDY J.	-	RESEARCH ANALYST	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
7-Sep-26	TAN	JAMES ANDROE T.	-	RESEARCH ASSOCIATE	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
7-Sep-26	TAN	SHIRLEY S.	CERTIFIED UITF SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
7-Sep-26	SAN JUAN	MARTHA YSABELLE B.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (transferred to BDO Private Bank)
7-Sep-26	CANLAS	RONNEL S.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (transferred to BDO Private Bank)
7-Sep-26	TUAZON	YSABELLA MARIA ANGELICA A.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (transferred to BDO Private Bank)

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7-Sep-26	ELEGINO	HANNAH SOFIA R.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (transferred to BDO Private Bank)
7-Sep-26	POTENCIANDO	LORAIN E.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (transferred to BDO Private Bank)
7-Sep-26	BALANAY	PRINCES PAULA M.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (transferred to BDO Private Bank)
7-Sep-26	FRANCISCO	AVA SHEKINAH A.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (transferred to BDO Private Bank)
7-Sep-26	AVILES	MIKO ANGELO G.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (transferred to BDO Private Bank)
7-Sep-26	ONG	LORRIE PHILLIMAE P.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (transferred to BDO Private Bank)
7-Sep-26	LUMANGYAO	FERNALENE JOY	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (transferred to BDO Private Bank)
5-Sep-26	BULAON	SHERYL L.	MARKETING HEAD	-	ABACUS SECURITIES CORPORATION	RESIGNATION (transferred to BDO Private Bank)
4-Sep-26	CARANDANG	AIRENE P.	GROUP LEGAL OFFICER, DPO AND CORPORATE SECRETARY	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
4-Sep-26	GALLEGO	SHERMAINE E.	OFFICE ASSISTANT - AGENCY	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
3-Sep-26	GARCIA	STEFANIE CERILE A.	-	OFFICE ASSISTANT - AGENCY	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
2-Sep-26	MALUPAY	ECEL MAE A.	CUSTOMER SUPPORT STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
2-Sep-26	MALUPAY	ECEL MAE A.	CUSTOMER SUPPORT STAFF	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
1-Sep-26	TEODORO	MARISOL M.	NOMINEE/ADVISOR	-	CHINA BANK SECURITIES CORPORATION	RETIREMENT
1-Sep-26	FORMALES	MINNIE I.	ASSOCIATED PERSON	ACTING NOMINEE / AP, COMPLIANCE & RISK DIRECTOR	CHINA BANK SECURITIES CORPORATION	RE-DESIGNATION

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1-Sep-26	ORTEGA	SWEETHEART G.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Sep-26	SANTIDAD	RAFAEL JR. G.	-	I.T. STAFF	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
1-Sep-26	BARRETTO	EDUARDO ALVARO B.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
1-Sep-26	RIVERA	ELIZABETH A.	-	ACCOUNTING OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
1-Sep-26	TUMIBAY	ROSEMARIE T.	-	NEW ACCOUNTS OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
1-Sep-26	DE DIOS	JENSEN PAULETTE D.	-	HUMAN RESOURCES OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
1-Sep-26	GAN	JEREMY C.	SETTLEMENT HEAD	ASSOCIATED PERSON	DAVID GO SECURITIES CORPORATION	RE-DESIGNATION
1-Sep-26	MANZANO	MICHELLE B.	ACCOUNTING ASSISTANT	SETTLEMENT HEAD	DAVID GO SECURITIES CORPORATION	RE-DESIGNATION
1-Sep-26	MA-AT	HARLEM P.	-	VICE PRESIDENT FOR LEGAL AND COMPLIANCE AND CORPORATE SECRETARY	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Sep-26	ESCOLANO	FRANCINE GALE M.	-	OPERATIONS ASSISTANT / GENERALIST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
1-Sep-26	MABAIT	RIAN LUIS C.	-	JUNIOR FULL STACK DEVELOPER	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
1-Sep-26	CALULANG	MARY JANE P.	JUNIOR DEVOPS ENGINEER	DEVOPS ENGINEER	DRAGONFI SECURITIES INC.	RE-DESIGNATION
1-Sep-26	CRUZ	JONATHAN	JUNIOR FRONTEND DEVELOPER	MID-LEVEL FULL STACK DEVELOPER	DRAGONFI SECURITIES INC.	RE-DESIGNATION
1-Sep-26	DE LA CRUZ	DAVID C.	MID-LEVEL FULL STACK DEVELOPER	BACKEND TECHNICAL LEAD	DRAGONFI SECURITIES INC.	RE-DESIGNATION

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1-Sep-26	DIMAL	CHRISTIAN DAVE E.	MID-LEVEL BACKEND DEVELOPER	BACKEND TECHNICAL LEAD & SOFTWARE ARCHITECT	DRAGONFI SECURITIES INC.	RE-DESIGNATION
1-Sep-26	ELLO	MARK AIN JAMES P.	BUSINESS ANALYST	PRODUCT DELIVERY & QUALITY ASSURANCE LEAD	DRAGONFI SECURITIES INC.	RE-DESIGNATION
1-Sep-26	FERNANDEZ	FRANCO M.	RESEARCH ANALYST	RESEARCH & INVESTOR EDUCATION LEAD	DRAGONFI SECURITIES INC.	RE-DESIGNATION
1-Sep-26	PANGANIBAN	JOHN PAULO U.	MID-LEVEL SOFTWARE DEVELOPER	MID-LEVEL FULL STACK DEVELOPER	DRAGONFI SECURITIES INC.	RE-DESIGNATION
1-Sep-26	TIN	JARROD LEIGHTON M.	RESEARCH ANALYST	SENIOR RESEARCH ANALYST	DRAGONFI SECURITIES INC.	RE-DESIGNATION
1-Sep-26	CORPUZ	ROBERTO V.	HEAD OF SETTLEMENTS, PHILIPPINES	HEAD OF OPERATIONS, PHILIPPINES	CLSA PHILIPPINES, INC.	RE-DESIGNATION
1-Sep-26	QUIMBA	BETHY P.	SETTLEMENTS ANALYST	SETTLEMENTS OFFICER	CLSA PHILIPPINES, INC.	RE-DESIGNATION
1-Sep-26	ROSETE	JAMAICA L.	COMPLIANCE ANALYST	ASSISTANT COMPLIANCE MANAGER	CLSA PHILIPPINES, INC.	RE-DESIGNATION
1-Sep-26	CHIU	JULIA ABIGAIL U.	SALES AND TRADING ASSOCIATE	SALES TRADER	CLSA PHILIPPINES, INC.	RE-DESIGNATION
1-Sep-26	GAN	JEREMY C.	SETTLEMENT HEAD	ASSOCIATED PERSON	DAVID GO SECURITIES CORPORATION	RE-DESIGNATION
1-Sep-26	MANZANO	MICHELLE B.	ACCOUNTING ASSISTANT	SETTLEMENT HEAD	DAVID GO SECURITIES CORPORATION	RE-DESIGNATION
1-Sep-26	CARANTO	DARWIN M.	-	IT OFFICER	MAYBANK SECURITIES, INC.	NEW EMPLOYMENT
1-Sep-26	RODRIGUEZ	FIONA M.	-	CUSTOMER CARE ASSOCIATE	PNB SECURITIES, INC.	NEW EMPLOYMENT
1-Sep-26	COMPLETO	EDMUND C.	-	UITF AND MUTUAL FUND ASSOCIATE	PNB SECURITIES, INC.	NEW EMPLOYMENT

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1-Sep-26	MESA	RICA MAE D.	-	ACCOUNTING AND ADMINISTRATIVE STAFF	VICSAL SECURITIES & STOCK BROKERAGE, INC.	NEW EMPLOYMENT
1-Sep-26	CUNANAN	JESSICA M.	-	FINANCE MANAGER	VICSAL SECURITIES & STOCK BROKERAGE, INC.	NEW EMPLOYMENT
31-Aug-26	EVANGELISTA	ROBERT L.	MESSENGER	-	APEX PHILS. EQUITIES CORPORATION	RESIGNATION (no clearance required)
31-Aug-26	COBARRUBIAS	CYNTHIA S.	COMPLIANCE OFFICER/ ASSOCIATED PERSON	-	PNB SECURITIES, INC.	RESIGNATION (clearance under process)
31-Aug-26	LOMUNTAD	MIA MAY D.	ASSISTANT COMPLIANCE OFFICER/ CERTIFIED UITF SALES PERSON/ SS	COMPLIANCE OFFICER/ COMPLIANCE OFFICER FOR PRIVACY / SS	PNB SECURITIES, INC.	RE-DESIGNATION
29-Aug-26	ONG	AMOS TIMOTHY B.	RESEARCH ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
29-Aug-26	LEGASPI	CARMELA S.	FINANCE CONSULTANT	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
29-Aug-26	BIGLANG-AWA III	DOMINGO KARLO G.	HEAD OF SALES FOR FUND SOURCE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
29-Aug-26	BIGLANG-AWA III	DOMINGO KARLO G.	HEAD OF SALES FOR FUND SOURCE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (cleared)
28-Aug-26	FAJARDO	JOSEPH B.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
28-Aug-26	COMETA	ROEL B.	RESEARCH ASSISTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
28-Aug-26	MANALO	SHARIE V.	FINANCE ASSISTANT	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
25-Aug-26	RESADO	ARABELLA M.	-	EQUITY ANALYST	REGINA CAPITAL DEVELOPMENT CORPORATION	NEW EMPLOYMENT
24-Aug-26	LEONARDO	EMMANUEL JOSEPH P.	-	RESEARCH ANALYST	META CAPITAL SECURITIES, INC.	NEW EMPLOYMENT

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24-Aug-26	MARCO	JOSHUA B.	-	MESSENGER	APEX PHILS. EQUITIES CORPORATION	NEW EMPLOYMENT
24-Aug-26	LAZARRA	CLARISSSES PERIE A.	ACCOUNTING CLERK	-	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	RESIGNATION (without clearance)
24-Aug-26	MESA	TRISHA MARIE D.	-	OPERATIONS ASSISTANT / GENERALIST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
20-Aug-26	VALDEZ	MARICEL M.	TRAINEE	CLERK	RTG & CO., INC.	RE-DESIGNATION
20-Aug-26	JABLA	ELIJAH JUDD D.	-	CERTIFIED SECURITIES REPRESENTATIVE	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
18-Aug-26	LIGNES	RUSELL B.	OPERATIONS ASSISTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
18-Aug-26	LIGNES	RUSELL B.	OPERATIONS ASSISTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
17-Aug-26	CECILIA	GABRIEL DOMINIC D.	-	RESEARCH ANALYST	AP SECURITIES, INC.	NEW EMPLOYMENT
17-Aug-26	ALEGA	MARINEL A.	-	OPERATIONS ASSISTANT / GENERALIST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
17-Aug-26	GALAN	JOHN ANDREI R.	-	ACCOUNTING ASSOCIATE	PNB SECURITIES, INC.	NEW EMPLOYMENT
15-Aug-26	FAUSTO	RALPH JONATHAN B.	RESEARCH ASSOCIATE, FMS-EMRD, CBC	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (no clearance required)
15-Aug-26	HORNILLA	MIKAELLA D.	OPERATIONS ASSISTANT/ GENERALIST	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
11-Aug-26	TSE	WILSON H.	-	EQUITIES DEALER TRAINEE	REGIS PARTNERS, INC.	NEW EMPLOYMENT
4-Aug-26	GUARIN	JAN DERRICK S.	RESEARCH ANALYST	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
9-Jun-26	ORTAÑEZ	MARA PATRICIA	CERTIFIED FUND SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)